

# **ALPHA-1 RESEARCH REGISTRY**

## **QUESTIONNAIRE**

Please answer the below questions to the best of your ability. You may want to ask your doctor or family members for help in answering some of the medical history questions.

If you have any questions, please contact the Alpha-1 Foundation at Tel# 877-228-7321 ext. 252

# Participant Information

First Name:

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Last Name:

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Maiden Name:

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Date of Birth:

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Age:

---

Street Address (Line 1):

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Street Address (Line 2):

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City:

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State:

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Zip Code:

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Country:

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Phone Number:

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Email Address:

**Demographics**

- Race
- White
  - American Indian or Alaska Native
  - Asian
  - Black or African American
  - Native Hawaiian or Other Pacific Islander
  - Other
  - Prefer not to say
- 

Race \_\_\_\_\_

- Ethnicity
- Hispanic or Latino
  - Not Hispanic or Latino
  - Prefer not to say
- 

- Gender
- Female
  - Male
  - Other
  - Prefer not to say
- 

Gender \_\_\_\_\_

- Marital Status
- Single
  - Married
  - Separated
  - Divorced
  - Widowed
  - Prefer not to say

## Geographic History

Do you currently reside in the same city, state, and country where you were born?

- Yes  
 No

City where you were born.

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Country where you were born.

- United States  
 Other

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State/Territory where you were born.

- Alabama (AL)
- Alaska (AK)
- American Samoa (AS)
- Arizona (AZ)
- Arkansas (AR)
- California (CA)
- Colorado (CO)
- Connecticut (CT)
- Delaware (DE)
- District of Columbia (DC)
- Florida (FL)
- Georgia (GA)
- Guam (GU)
- Hawaii (HI)
- Idaho (ID)
- Illinois (IL)
- Indiana (IN)
- Iowa (IA)
- Kansas (KS)
- Kentucky (KY)
- Louisiana (LA)
- Maine (ME)
- Northern Mariana Islands (MP)
- Maryland (MD)
- Massachusetts (MA)
- Michigan (MI)
- Minnesota (MN)
- Mississippi (MS)
- Missouri (MO)
- Montana (MT)
- Nebraska (NE)
- Nevada (NV)
- New Hampshire (NH)
- New Jersey (NJ)
- New Mexico (NM)
- New York (NY)
- North Carolina (NC)
- North Dakota (ND)
- Ohio (OH)
- Oklahoma (OK)
- Oregon (OR)
- Pennsylvania (PA)
- Puerto Rico (PR)
- Rhode Island (RI)
- South Carolina (SC)
- South Dakota (SD)
- Tennessee (TN)
- Texas (TX)
- Utah (UT)
- Vermont (VT)
- Virgin Islands (VI)
- Virginia (VA)
- Washington (WA)
- West Virginia (WV)
- Wisconsin (WI)
- Wyoming (WY)

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Country where you were born.

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Current Home/Living Location

- Farm
- Rural Area
- Suburban Area
- Urban Area
- Unknown

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Number of people living in your household, including yourself?

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How long have you lived at this location? (years)

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What is your annual gross household income?

- Less than \$10,000 (USD)
- \$10,000 - \$24,999
- \$25,000 - \$49,999
- \$50,000 - \$74,999
- \$75,000 - \$99,999
- \$100,000 - \$149,999
- \$150,000 - \$249,999
- \$250,000 and above
- Prefer not to say

**Insurance**

Are you covered by any kind of health insurance or some other kind of health care plan?

- Yes  
 No

Primary Health Insurance Type

- Private Health Insurance  
 Medicare  
 Medi-gap  
 Medicaid  
 SCHIP (children's health insurance program)  
 Military Health Care (Tricare/VA, Champ/VA)  
 Indian Health Service  
 State Sponsored Health Plan  
 Other Government Program  
 Single Service Plan (e.g. Dental, Vision, Prescription)  
 No Coverage  
 Unknown

Have you been denied health care for insurance reasons?

- Yes  
 No

## Job History

Have you ever been employed for a wage or salary, either part-time or full-time?

- Yes  
 No

Which of the following best describes your current employment status?

- Working  
 On leave but still employed  
 Temporary laid off  
 Unemployed and looking for work  
 Unable to work  
 Attending school  
 Homemaker  
 Retired  
 Other

What is your longest held job/occupation?

\_\_\_\_\_  
(Please write N/A if not applicable)

What is/was the kind of business or industry? (If necessary) What do/did they make or do at this business?

\_\_\_\_\_  
(Please write N/A if not applicable)

What are/were the usual activities or duties?

\_\_\_\_\_  
(Please write N/A if not applicable)

At what age did you first begin this job?

\_\_\_\_\_  
(Please write N/A if not applicable)

How many years, altogether, have/did you work/worked at this job?

\_\_\_\_\_  
(Please write N/A if not applicable)

On average, how many weeks per year have/did you work at this job?

\_\_\_\_\_  
(Please write N/A if not applicable)

In the weeks you worked, how many hours per week did you usually work?

\_\_\_\_\_  
(Please write N/A if not applicable)

In response to this job, are/were you exposed to vapors, gases, dusts, or fumes?

- Yes  
 No  
 Unknown



**Which of these examples of vapors, gases, dusts, or fumes were you exposed to at your current work or otherwise?**

	Yes	No	Unknown
Irritant Gases (Such as Chlorine or Ammonia)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Fire, Smoke, or Other Combustion Particles	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Incinerators, Boilers, or Oil Refineries Coal Dust or Powder	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Silica, Sand, Concrete, or Cement Dust	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Indoor Fuel Powered Motors, Compressors, or Engines	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Diesel Engine Exhaust	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Wheat Flour or Other Grain	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Dusts Animal Feeds or Fodder	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Cotton Dust or Cotton Processing	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Wood or Saw Dust	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Cadmium Fumes, Batteries, or Silver Solder	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other Metal Dusts or Metal	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Fumes Welding or Flame Cutting	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Fiberglass or Other Man-made Mineral Fibers	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Explosive or Blasting Fumes	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Aside from this current job, have you worked in any other jobs that exposed you to vapors, gases, dusts or fumes?  Yes  No  Unknown

How many other jobs involved such exposures? \_\_\_\_\_

How many years, altogether, did you work in this/these job(s)? \_\_\_\_\_

Considering all the jobs you have had, how many years of employment have you been regularly exposed to another person's cigarette smoke inside the workplace? Give the best estimate. \_\_\_\_\_

## Education

If you are less than 18 years old, what is the highest grade you completed?

- N/A - I am 18 years or older
- No schooling completed
- Preschool or nursery school, kindergarten
- 1st Grade
- 2nd Grade
- 3rd Grade
- 4th Grade
- 5th Grade
- 6th Grade
- 7th Grade
- 8th Grade
- 9th Grade
- 10th Grade
- 11th Grade
- 12th Grade
- GED
- College

If you are 18 years or older, what is the highest grade or level of school you have completed, or the highest degree you have received?

- 8th grade or less
- More than 8th grade but did not graduate from high school
- Went to business, trade, or vocational school instead of high school
- High School Graduate
- Completed a GED
- Went to a business, trade, or vocational school after high school
- Went to college but did not graduate
- Graduated from a college or university
- Professional training beyond a 4 year college or university
- Never went to school

What is the highest level of education your mother completed?

- 8th grade or less
- More than 8th grade but did not graduate from high school
- Went to business, trade, or vocational school instead of high school
- High School Graduate
- Completed a GED
- Went to a business, trade, or vocational school after high school
- Went to a college but did not graduate
- Graduated from a college or university
- Professional training beyond a 4 year college or university
- Never went to school
- Unknown

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What is the highest level of education your father completed?

- 8th grade or less
- More than 8th grade but did not graduate from high school
- Went to business, trade, or vocational school instead of high school
- High School Graduate
- Completed a GED
- Went to a business, trade, or vocational school after high school
- Went to a college but did not graduate
- Graduated from a college or university
- Professional training beyond a 4 year college or university
- Never went to school
- Unknown

## Family History

Mother's First Name

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Mother's Maiden Name

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Mother's Last Name

---

Mother's Date of Birth

---

Father's First Name

---

Father's Last Name

---

Father's Date of Birth

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Do you have any family members who are known to have Alpha-1 Antitrypsin Deficiency?

- Yes  
 No  
 Unknown

Which family members also are known to have Alpha-1 Antitrypsin Deficiency? Please list only tested individuals who are severely deficient (PiZZ, PiZnull, PiSZ, PiSnull). Check all that apply.

- Mother  
 Father  
 Sister  
 Half-Sister  
 Brother  
 Half-Brother  
 Daughter  
 Son  
 Maternal Grandmother  
 Maternal Grandfather  
 Paternal Grandmother  
 Paternal Grandfather  
 Maternal Aunt  
 Maternal Uncle  
 Paternal Aunt  
 Paternal Uncle  
 Maternal Cousin  
 Paternal Cousin  
 Niece  
 Nephew  
 Grandson  
 Granddaughter

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How many brothers do you have from the same parents?

- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10 or more
- None

---

How many sisters do you have from the same parents?

- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10 or more
- None

## Medical History

### Part 1: Alpha-1 Antitrypsin Deficiency (Alpha-1) History

What prompted you to have Alpha-1 testing done?

- Lung Symptoms  
 Liver Symptoms  
 Family Testing  
 Other Screening  
 Other

What prompted you to have Alpha-1 testing done?

(If other please list)

What is your Alpha-1 genotype?

- MS  
 MZ  
 MF  
 SS  
 SZ  
 ZZ  
 FZ  
 FF  
 Znull  
 Mnull  
 Snull  
 Unknown  
 Other

What is your Alpha-1 genotype? (If other please list)

Do you have your Alpha-1 testing report?

- Yes  
 No

What age did you begin to notice symptoms of Alpha-1?

What age were you diagnosed with Alpha-1?

Have you ever been admitted to a hospital due to Alpha-1?

- Yes  
 No  
 Unknown

How many times in the last 12 months have you been admitted to a hospital due to Alpha-1?

(If unsure, please type N/A)

Have you had any surgeries as a result of Alpha-1?

- Yes  
 No  
 Unknown

Please list all surgeries that are applicable.

Have you been diagnosed with a fatty liver?

- Yes  
 No  
 Unknown

## Part 2: Lung History

### Section A: Asthma

Were you ever diagnosed with asthma as a child?  Yes  
 No  
 Unknown

What age did you begin to notice childhood asthma symptoms? \_\_\_\_\_

Were you ever diagnosed with asthma as an adult?  Yes  
 No  
 Unknown

What age did you begin to notice adult asthma symptoms? \_\_\_\_\_

### Section B: Bronchiectasis

Have you ever been diagnosed with bronchiectasis?  Yes  
 No  
 Unknown

Year of bronchiectasis diagnosis?  
 (if NO or Unknown, please skip to next section) \_\_\_\_\_  
 (If unsure, please type N/A)

### Section C: Chronic Obstructive Pulmonary Disease (COPD) and Emphysema

Have you ever been diagnosed with COPD?  Yes  
 No  
 Unknown

Year of COPD diagnosis? \_\_\_\_\_  
 (If unsure, please type N/A)

Have you ever been diagnosed with emphysema?  Yes  
 No  
 Unknown

Year of emphysema diagnosis? \_\_\_\_\_  
 (If unsure, please type N/A)

Number of exacerbations in the past year (defined by need for antibiotics or corticosteroids)? \_\_\_\_\_  
 (If unsure, please type N/A)

Number of exacerbations in the past year requiring ER or hospital visits? \_\_\_\_\_  
 (If unsure, please type N/A)

### Section D: Other Lung Related Diagnosis

Have you ever been diagnosed with hepatopulmonary syndrome?  Yes  
 No  
 Unknown

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Year of hepatopulmonary syndrome diagnosis?

---

(If unsure, please type N/A)

---

Have you ever been diagnosed with lung cancer?

- Yes  
 No  
 Unknown

---

Year of lung cancer diagnosis?

---

(If unsure, please type N/A)

---

Have you ever been diagnosed with portopulmonary hypertension diagnosis?

- Yes  
 No  
 Unknown

---

Year of portopulmonary hypertension diagnosis?

---

(If unsure, please type N/A)

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### Section E: Lung Surgical History

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Have you ever had a lung transplant?

- Yes  
 No  
 Unknown

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Year of the lung transplant?

---

(If unsure, please type N/A)

---

What hospital performed the lung transplant?

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(If unsure, please type N/A)

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Have you ever had a lung volume reduction?

- Yes  
 No  
 Unknown

---

Year of the lung volume reduction?

---

(If unsure, please type N/A)

---

What hospital performed the lung volume reduction?

---

(If unsure, please type N/A)

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Was the lung volume reduction performed by bronchoscopy or surgically?

- Bronchoscopic  
 Surgery  
 Unknown

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How was the bronchoscopic procedure performed?

- Valve  
 Steam  
 Chemical  
 Coil  
 Unknown

---

### Section F: Lung Tests

---

Have you ever had an X-ray or CT scan of the lung?

- Yes  
 No  
 Unknown



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Year of the most recent X-ray or CT scan of the lung?

---

(If unsure, please type N/A)

---

Have you ever had a Pulmonary Function Test (PFT) done?

- Yes
- No
- Unknown

---

Year of the most recent PFT?

---

(If unsure, please type N/A)

## Part 3: Liver History

### Section A: Liver related Diagnosis

Have you ever been diagnosed with ascites?

- Yes  
 No  
 Unknown

Year of ascites diagnosis?

\_\_\_\_\_  
(If unsure, please type N/A)

Have you ever been diagnosed with cirrhosis?

- Yes  
 No  
 Unknown

Year of cirrhosis diagnosis?

\_\_\_\_\_  
(If unsure, please type N/A)

Have you ever experienced symptoms of liver disease?

- Yes  
 No  
 Unknown

If YES, what age did you begin to experience symptoms of liver disease?

\_\_\_\_\_

What age were you clinically diagnosed with liver disease?

\_\_\_\_\_  
(If unsure, please type N/A)

Have you ever been diagnosed by a physician with any abnormal liver function tests?

- Yes  
 No  
 Not Tested  
 Unknown

Were you ever diagnosed with childhood jaundice? If yes, when did you begin to notice symptoms?

- 1st week of life  
 2nd week of life  
 3rd week of life  
 1 month  
 After 1 month  
 I did not have childhood jaundice  
 I do not know if I had childhood jaundice  
 Unknown

Have you ever been diagnosed with cholestasis?

- Yes  
 No  
 Unknown

Year of cholestasis diagnosis?

\_\_\_\_\_  
(If unsure, please type N/A)

Have you ever been diagnosed with neonatal cholestasis?

- Yes  
 No  
 Unknown

Year of neonatal cholestasis diagnosis

\_\_\_\_\_  
(If unsure, please type N/A)

---

Have you ever been diagnosed with non-alcoholic steatohepatitis (NASH)?

- Yes  
 No  
 Unknown

---

Year of non-alcoholic steatohepatitis (NASH) diagnosis

\_\_\_\_\_

(If unsure, please type N/A)

---

Have you ever been diagnosed with panniculitis?

- Yes  
 No  
 Unknown

---

Year of panniculitis diagnosis?

\_\_\_\_\_

(If unsure, please type N/A)

---

Have you ever been diagnosed with splenomegaly?

- Yes  
 No  
 Unknown

---

Year of splenomegaly diagnosis?

\_\_\_\_\_

(If unsure, please type N/A)

---

Have you ever been diagnosed with spontaneous bacterial peritonitis?

- Yes  
 No  
 Unknown

---

Year of spontaneous bacterial peritonitis diagnosis

\_\_\_\_\_

(If unsure, please type N/A)

---

Have you ever been diagnosed with variceal bleeding?

- Yes  
 No  
 Unknown

---

Year of variceal bleeding diagnosis?

\_\_\_\_\_

(If unsure, please type N/A)

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## Section B: Vaccinations

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Have you received a vaccination for hepatitis A?

- Yes  
 No  
 Unknown

---

Year of hepatitis A vaccination?

\_\_\_\_\_

(If unsure, please type N/A)

---

Have you received a vaccination for hepatitis B?

- Yes  
 No  
 Unknown

---

Year of hepatitis B vaccination?

\_\_\_\_\_

(If unsure, please type N/A)

---

Have you received a vaccine for hepatitis C?

- Yes  
 No  
 Unknown

---

Year of hepatitis C vaccination?

---

(If unsure, please type N/A)

---

Have you been tested for HIV?

- Positive  
 Negative  
 Not tested  
 Unknown

---

Year of HIV test?

---

(If unsure, please type N/A)

---

Have you ever been diagnosed with hepatocellular carcinoma (HCC)?

- Yes  
 No  
 Unknown

---

Year of hepatocellular carcinoma diagnosis?

---

(If unsure, please type N/A)

---

### Section C: Liver Surgical History

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Have you ever had a liver biopsy?

- Yes  
 No  
 Unknown

---

Year of the liver biopsy

---

(If unsure, please type N/A)

---

Have you had a liver transplant?

- Yes  
 No  
 Unknown

---

Year of the liver transplant?

---

(If unsure, please type N/A)

---

What hospital performed the liver transplant?

---

(If unsure, please type N/A)

---

Have you had an endoscopy?

- Yes  
 No  
 Unknown

---

Year of the endoscopy?

---

(If unsure, please type N/A)

---

### Section E: Liver Imaging (radiology and ultrasound)

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Have you ever had an ultrasound or CT scan of the liver or abdomen?

- Yes  
 No  
 Unknown

---

Year of most recent ultrasound or CT scan of the liver or abdomen?

---

(If unsure, please type N/A)

---

## Part 4: Other Medical History

Have you been diagnosed with diabetes?

- Yes  
 No  
 Unknown

Year of diabetes diagnosis?

\_\_\_\_\_

(If unsure, please type N/A)

Does your diabetes require insulin?

- Yes  
 No  
 Unknown

Have you been diagnosed with gallstones?

- Yes  
 No  
 Unknown

Year of gallstones diagnosis?

\_\_\_\_\_

(If unsure, please type N/A)

Have you had bloodwork done?

(E.g. liver enzyme blood test, excessive bleeding or blood clotting test, Cholesterol)

- Yes  
 No  
 Unknown

What is the most recent date you had bloodwork done?

(E.g. liver enzyme blood test, excessive bleeding or blood clotting test, Cholesterol) (M-D-Y)

\_\_\_\_\_

(If unsure, please type N/A)

Were you born at or close to your due date? How many weeks premature or after the due date, were you?

- 2 weeks late  
 1 week late  
 On time  
 1 week premature  
 2 weeks premature  
 3 weeks premature  
 4 weeks premature  
 5 weeks premature  
 6 weeks premature  
 7 weeks premature  
 8 weeks premature  
 9 weeks premature  
 10 weeks premature  
 11 weeks premature  
 12 weeks premature  
 13 weeks premature  
 14 weeks premature  
 15 weeks premature  
 Unknown

Have you had any of the following?

- Myocardial infarction  
 Congestive heart failure  
 Peripheral vascular disease  
 Cerebrovascular disease (except hemiplegia)  
 Dementia  
 Connective tissue disease  
 Ulcer disease  
 Mild liver disease  
 Diabetes (without complications)  
 N/A

---

Have you had any of the following?

- Diabetes with end organ damage
- Hemiplegia
- Moderate or severe renal disease
- Solid tumor (non metastatic)
- Leukemia
- Lymphoma, Multiple myeloma
- N/A

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Have you had any of the following?

- Moderate or severe liver disease
- Metastatic solid tumor
- AIDS
- N/A

**Medication History**

	Currently	In The Past	Never
Beta-Agonists (e.g. Albuterol)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Oral Corticosteroids (e.g. Prednisone)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Inhaled Corticosteroids (e.g. Flovent, Advair, Symbicort)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Aspirin	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Statin - drugs to lower cholesterol	<input type="radio"/>		<input type="radio"/>
Non-Steroidal Anti-Inflammatory Drug (NSAID) (e.g. Celebrex, Ibuprofen)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Augmentation (Infusion) Therapy	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Oxygen	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Anticholinergics (e.g. Spiriva)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

List any other medications you are currently taking along with the dosage and frequency (e.g. Tylenol, 100mg, once a week) (one per line)

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Do you take any medical foods or follow a special diet for treatment of Alpha-1 Antitrypsin Deficiency?

- Yes
- No
- Not regularly

## Hospitalization History

Were you hospitalized once in the past year?

(If NO, please skip to next section)

Yes

No

Type of visit

In-patient

Emergency

Date of hospitalization (M-D-Y)

\_\_\_\_\_

Cause of hospitalization

\_\_\_\_\_

Were you hospitalized a second time in the last year?

(If NO, please skip to next section)

Yes

No

Type of visit

In-patient

Emergency

Date of hospitalization (M-D-Y)

\_\_\_\_\_

Cause of hospitalization

\_\_\_\_\_

Were you hospitalized a third time in the last year?

(If NO, please skip to next section)

Yes

No

Type of visit

In-patient

Emergency

Date of hospitalization (M-D-Y)

\_\_\_\_\_

Cause of hospitalization

\_\_\_\_\_

Were you hospitalized a fourth time in the last year?

(If NO, please skip to next section)

Yes

No

Type of visit

In-patient

Emergency

Date of hospitalization (M-D-Y)

\_\_\_\_\_

Cause of hospitalization

\_\_\_\_\_

Were you hospitalized a fifth time in the last year?

(If NO, please skip to next section)

Yes

No

Type of visit

In-patient

Emergency



---

Date of hospitalization (M-D-Y)

---

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Cause of hospitalization

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**Smoking History**

Have you ever smoked in your lifetime?

- Yes  
 No

Current smoking status

- Non-smoker  
 Current smoker  
 Ex-smoker  
(Note: < 100 cigarettes in a lifetime defines a non-smoker)

What age did you start smoking?

\_\_\_\_\_

What age did you stop smoking?

\_\_\_\_\_

Average cigarettes pack(s) per day?

\_\_\_\_\_ (1 pack = 20 cigarettes)

Were you exposed to second-hand smoke as a child (age 12 or younger) for at least one year?

- Yes  
 No  
 Unknown

Were you exposed to second-hand smoke after age 12 for at least one year?

- Yes  
 No  
 Unknown

Does anyone in your household currently smoke?

- Yes  
 No  
 Unknown

## Alcohol History

I am

- Lifetime abstainer from alcohol
- Former alcohol consumer (no alcohol consumed in the past 12 months)
- Current alcohol consumer (consumed alcohol in the past 12 months, i.e., socially)

---

How many drinks containing alcohol do you consume each day?

\_\_\_\_\_

---

The following are a set of validated questionnaires that may apply to you concerning alcohol, COPD, and quality of life.

If you are a current alcohol consumer (consumed alcohol in the past 12 months), please answer the following questions. If not, please continue to the next section entitled Family History

### Alcohol Use Disorder Identification Test (AUDIT-C) - Questionnaire

How often do you have a drink containing alcohol?

- Never
- Monthly or less
- 2-4 times per month
- 2-3 times per week
- 4 or more times per week

How many standard drinks containing alcohol do you have on a typical day?

- 1 or 2
- 3 or 4
- 5 or 6
- 7 to 9
- 10 or more

How often do you have 6 or more drinks on one occasion?

- Never
- Less than monthly
- Monthly
- Weekly
- Daily or almost daily

The Alcohol Use Disorders Identification Test is a publication of the World Health Organization, © 1990

## St. Georges Respiratory Questionnaire (SGRQ)

This questionnaire is designed to help us learn much more about how your breathing is troubling you and how it affects your life. We are using it to find out which aspects of your illness cause you most problems, rather than what the doctors and nurses think your problems are. Please read the instructions carefully and contact us if you do not understand anything. Do not spend too long deciding about your answers.

The questions below are about how much chest trouble you have had over the past 3 months. Please select one option per question.

Before completing the rest of the questionnaire:

---

Please choose one to show how you describe your current health:

- Very good
- Good
- Fair Poor
- Very Poor

## St. George's Respiratory Questionnaire PART 1

### Section 1

1. Over the past three months, I have coughed:
- most days a week
  - several days a week
  - a few days a month
  - only with chest infections
  - not at all
- 
2. Over the past 3 months, I have brought up phlegm (sputum):
- most days a week
  - several days a week
  - a few days a month
  - only with chest infections
  - not at all
- 
3. Over the past 3 months, I have had shortness of breath:
- most days a week
  - several days a week
  - a few days a month
  - only with chest infections
  - not at all
- 
4. Over the past 3 months, I have had attacks of wheezing:
- most days a week
  - several days a week
  - a few days a month
  - only with chest infections
  - not at all
- 
5. Over the past 3 months, how many severe or very unpleasant attacks of chest trouble have you had?
- more than 3 attacks
  - 3 attacks
  - 2 attacks
  - 1 attack
  - not at all
- 
6. How long did the worst attack of chest trouble last?
- a week or longer
  - 3 or more days
  - 1 or 2 days
  - less than a day
- 
7. Over the past 3 months, in an average week, how many good days (with little chest trouble) have you had?
- No good days
  - 1 or 2 good days
  - 3 or 4 good days
  - nearly every day is good
  - every day is good
- 
8. If you have a wheeze, is it worse in the morning?
- Yes
  - No

**St. George's Respiratory Questionnaire PART 2****Section 1**

9. How would you describe your chest condition?

- The most important problem I have
- Causes me quite a lot of problems
- Causes me a few problems
- Causes no problem

10. If you have ever had paid employment.

- My chest trouble made me stop work altogether
- My chest trouble interferes with my work or made me change my work
- My chest trouble does not affect my work

**Section 2**

The following questions are about activities that may make you feel breathless these days. Please select True for any activity that makes you feel breathless these days. Please select False for any statement that does not make you feel breathless these days.

	True	False
I Ia. Sitting or lying still	<input type="radio"/>	<input type="radio"/>
I Ib. Getting washed or dressed	<input type="radio"/>	<input type="radio"/>
I Ic. Walking around the home	<input type="radio"/>	<input type="radio"/>
I Id. Walking outside on the	<input type="radio"/>	<input type="radio"/>
IleWalking up a flight of stairs	<input type="radio"/>	<input type="radio"/>
I If. Walking up hills	<input type="radio"/>	<input type="radio"/>
I Ig. Playing sports or games	<input type="radio"/>	<input type="radio"/>



**Section 3**

The following questions are about your cough and breathlessness these days. Please select True for any statement that is accurate these days. Please select False for any statement that is inaccurate these days.

	True	False
I2a. My cough hurts	<input type="radio"/>	<input type="radio"/>
I2b. My cough makes me tired	<input type="radio"/>	<input type="radio"/>
I2c. I am breathless when I talk	<input type="radio"/>	<input type="radio"/>
I2d. I am breathless when I bend over	<input type="radio"/>	<input type="radio"/>
I2e. My cough or breathing disturbs my sleep.	<input type="radio"/>	<input type="radio"/>
I2f. I get exhausted easily	<input type="radio"/>	<input type="radio"/>

## Section 4

The following questions are about other effects that your chest trouble may have on you these days. Please select True for any statement that applies. Please select False for any statement that does not apply.

	True	False
I 3a. My cough or breathing is embarrassing in public	<input type="radio"/>	<input type="radio"/>
I 3b. My chest trouble is a nuisance to my family, friends, or neighbors	<input type="radio"/>	<input type="radio"/>
I 3c. I get afraid or panic when I cannot get my breath	<input type="radio"/>	<input type="radio"/>
I 3d. I feel that I am not in control of my chest problem	<input type="radio"/>	<input type="radio"/>
I 3e. I do not expect my chest to get any better	<input type="radio"/>	<input type="radio"/>
I 3f. I have become frail or an invalid because of my chest	<input type="radio"/>	<input type="radio"/>
I 3g. Exercise is not safe for me	<input type="radio"/>	<input type="radio"/>
I 3h. Everything seems too much of an effort	<input type="radio"/>	<input type="radio"/>

The Following questions are about your medication.  
If no medications are being taken, answer False for I 4a-I 4d.

	True	False
I 4a. My medication does not help me very much	<input type="radio"/>	<input type="radio"/>
I 4b. I get embarrassed using my medication in public	<input type="radio"/>	<input type="radio"/>
I 4c. I have unpleasant side effects from my medication	<input type="radio"/>	<input type="radio"/>
I 4d. My medication interferes with my life a lot	<input type="radio"/>	<input type="radio"/>

**Section 5**

The following questions are about how your activities might be affected by your breathing. Please select True for any statement that applies to you because of your breathing. Please select False for any statement that applies to you because of your breathing.

	True	False
I 5a. I take a long time to get washed or dressed	<input type="radio"/>	<input type="radio"/>
I 5b. I cannot take a bath or shower, or I take a long time	<input type="radio"/>	<input type="radio"/>
I 5c. I walk more slowly than other people, or stop for rests	<input type="radio"/>	<input type="radio"/>
I 5d. Jobs such as housework take a long time, or I have to stop for rests	<input type="radio"/>	<input type="radio"/>
I 5e. If I walk up one flight of stairs, I have to go slowly or stop	<input type="radio"/>	<input type="radio"/>
I 5f. If I hurry or walk fast, I have to stop or slow down	<input type="radio"/>	<input type="radio"/>
I 5g. My breathing makes it difficult to do things such as walk up hills, carry things up stairs, light gardening such as weeding, dance, play bowls, or play golf	<input type="radio"/>	<input type="radio"/>
I 5h. My breathing makes it difficult to do things such as carry heavy loads, dig the garden or shovel snow, jog or walk at 5 miles per hour, play tennis or swim	<input type="radio"/>	<input type="radio"/>
I 5i. My breathing makes it difficult to do things such as very heavy manual work, run, cycle, swim fast, or play competitive sports	<input type="radio"/>	<input type="radio"/>

**Section 6**

The following questions pertain to how your chest usually affects your daily life. Please select True for any statement that applies to you because of your chest trouble. Please select False for any statement that does not apply.

	True	False
I 6a. I cannot play sports or games	<input type="radio"/>	<input type="radio"/>
I 6b. I cannot go out for entertainment or recreation	<input type="radio"/>	<input type="radio"/>
I 6c. I cannot go out of the house to do the shopping	<input type="radio"/>	<input type="radio"/>
I 6d. I cannot do housework	<input type="radio"/>	<input type="radio"/>
I 6e. I cannot move far from my bed or chair	<input type="radio"/>	<input type="radio"/>

I 7. Which statement best describes how your chest affects you

- It does not stop me from doing anything I would like to do
- It stops me from doing one or two things I would like to do
- It stops me from doing most the things I like to do
- It stops me from doing everything I would like to do

Here is list of other activities that your chest trouble may prevent you from doing. (You do not have to select these, they are just to remind you of ways in which your breathlessness may affect you):

- Going for walks or walking the dog
- Doing things at home or in the garden
- Sexual intercourse
- Going out to church, pub, club, or place of entertainment
- Going out in bad weather or into smoky rooms
- Visiting family or friends or playing with children

Please write in any important activities that your chest trouble may stop you from doing.

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## COPD Assessment Test (CAT) - Questionnaire

	0	1	2	3	4	5
How often do you cough, with <b>0</b> being never and <b>5</b> coughing all the time?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
How much phlegm (mucus) do you have in your chest, with <b>0</b> being that you have no phlegm (mucus) in your chest at all and <b>5</b> chest is completely full of phlegm (mucus)?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
How tight does your chest feel, with <b>0</b> being not tight at all and <b>5</b> chest feels very tight?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Do you feel breathless when walking up a hill or one flight of stairs, with <b>0</b> being not breathless and <b>5</b> being very breathless?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
How limited are you when doing activities at home, with <b>0</b> being not limited and <b>5</b> very limited?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
How confident do you feel leaving your home with your lung condition, with <b>0</b> being not limited and <b>5</b> very limited?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
How do you sleep, with <b>0</b> being that you sleep soundly and <b>5</b> you don't sleep soundly because of your lung condition?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
How much energy do you have, with <b>0</b> being lots of energy and <b>5</b> no energy at all.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

A COPD assessment test was developed by an interdisciplinary group of international COPD experts with support from GSK. GSK's activities in connection with the COPD assessment test are monitored by a supervisory council that includes external, independent experts, one of which is chair of the council. CAT, the COPD assessment test and the CAT logo are trademarks that belong to the GSK group of companies. ©2009 GSK. All rights reserved.

**General Health**

In general, how would you describe your overall health?

- Excellent
- Very good
- Good
- Fair
- Poor

Does your health now limit you in doing vigorous activities?

- Not at all
- Very little
- Somewhat
- Quite a lot
- Cannot do

How much does pain interfere with your enjoyment of life?

- Not at all
- A little bit
- Somewhat
- Quite a bit
- Very much

How often do you feel tired?

- Never
- Rarely
- Sometimes
- Often
- Always

How often do you feel depressed?

- Never
- Rarely
- Sometimes
- Often
- Always

Do you use any assistive devices (such as wheelchairs, hearing aids, ramps, prosthetic devices)?

- Yes
- No

Please specify which assistive devices you use.

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### Physicians and Facilities

Please list the physicians and facilities where you've had up to 5 of the following most recent test and labs performed:

Have you ever had a 6 Minute Walk test?

- Yes
- No
- Unknown

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Have you ever had a Pulmonary Function Test?

- Yes
- No
- Unknown

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Have you ever had bloodwork?  
(e.g. liver enzyme blood test, blood clotting test,  
total cholesterol blood test)

- Yes
- No
- Unknown

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Have you ever had Lung and/or Liver Ultrasounds?

- Yes
- No
- Unknown

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Have you ever had lung fibroscans?

- Yes
- No
- Unknown

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